

SFTR Reporting Service Form

For Mandatory Clients (EEA-incorporated NFC-), or Optional delegated reporting Clients (FC or EEA-incorporated NFC+)

In order to comply with the terms of the HSBC Delegated Reporting Service, HSBC requires the below mandatory information. Please complete the below fields, sign and return the document.

If you represent an *EEA-incorporated NFC-*, the regulation mandates that HSBC report on your behalf any eligible transactions conducted between our firms. You need only populate sections 2, 3 and 4 below to enable HSBC to do this.

1. Delegated Reporting Transaction Types **[not applicable to NFC-]**

Please select the products to be reported by HSBC:

- Securities Lending
- Repo & Buy-Sell Back¹
- Prime Brokerage Margin Lending
- Precious Metals Leasing / Commodities Lending

2. Relevant Data. Corporate Sector Code

- a. Sector of Reporting Counterparty, please select one of the below for part a:
- i. If your classification provided is FC:

Choose an item.

- ii. If your classification provided is either NFC or NFC-:

Choose an item.

- b. Additional Sector Classification (Only answer if part 3.a. is either of the following: UCITS, AIFD, K,L)

Choose an item.

¹ The regulation refers to Repo & Buy-Sell Back using the terms Repurchase Transaction and Buy-Sell Back / Sell-Buy Back Transaction



3. Relevant Data. Market Participant Type **[FC only]**

In order for HSBC to report on your behalf, please select the type of business performed by your entity (Non-Financial Companies will be "Not Applicable" in this field):

4. Relevant Data. Client Data

Please provide the following information ²	
Full legal entity name ("Client") ³	
LEI	

² For further information on SFTR please visit our dedicated SFTR website: <https://www.business.hsbc.fr/fr-fr/fr/generic/sftr-en>

³ If this letter is being completed by an agent on behalf of one or more named entities, the agent must clearly identify the full legal name and LEI of each named entity either on this page or on an attached sheet. An agent may only list multiple named entities where the elections made by all such named entities are identical. Where an agent wishes to complete this letter on behalf of two or more named entities which do not have identical elections, the agent should complete separate letters for each.